

**AMENDMENT IN THE NATURE OF A SUBSTITUTE
TO H.R. 2528**

OFFERED BY Ms. Johnson

Strike all after the enacting clause and insert the following:

1 SECTION 1. SHORT TITLE; TABLE OF CONTENTS; FINDINGS.

2 (a) **SHORT TITLE.**—This Act may be cited as the
3 “STEM Opportunities Act of 2019”.

4 (b) **TABLE OF CONTENTS.**—The table of contents for
5 this Act is as follows:

Sec. 1. Short title; table of contents; findings.

Sec. 2. Purposes.

Sec. 3. Federal science agency policies for caregivers.

Sec. 4. Collection and reporting of data on Federal research grants.

Sec. 5. Policies for review of Federal research grants.

Sec. 6. Collection of data on demographics of faculty.

Sec. 7. Cultural and institutional barriers to expanding the academic and Federal STEM workforce.

Sec. 8. Research and dissemination at the National Science Foundation.

Sec. 9. Research and related activities to expand STEM opportunities.

Sec. 10. Tribal Colleges and Universities Program.

Sec. 11. Report to Congress.

Sec. 12. Merit review.

Sec. 13. Definitions.

6 (c) **FINDINGS.**—The Congress finds the following:

7 (1) Many reports over the past decade have
8 found that it is critical to our Nation’s economic
9 leadership and global competitiveness that the
10 United States educates and trains more scientists
11 and engineers.

1 (2) Research shows that women and minorities
2 who are interested in STEM careers are dispropor-
3 tionately lost at nearly every educational transition
4 and at every career milestone.

5 (3) The National Center for Science and Engi-
6 neering Statistics at the National Science Founda-
7 tion collects, compiles, analyzes, and publishes data
8 on the demographics of STEM degrees and STEM
9 jobs in the United States.

10 (4) Women now earn nearly 37 percent of all
11 STEM bachelor's degrees, but major variations per-
12 sist among fields. In 2017, women earned only 20
13 percent of all bachelor's degrees awarded in engi-
14 neering and 19 percent of bachelor's degrees award-
15 ed in computer sciences. Based on Bureau of Labor
16 Statistics data, jobs in computing occupations are
17 expected to account for nearly 60 percent of the pro-
18 jected annual growth of newly created STEM job
19 openings from 2016 to 2026.

20 (5) In 2017, underrepresented minority groups
21 comprised 39 percent of the college-age population
22 of the United States, but only 18 percent of stu-
23 dents who earned bachelor's degrees in STEM fields.
24 The Higher Education Research Institute at the
25 University of California, Los Angeles, found that,

1 while freshmen from underrepresented minority
2 groups express an interest in pursuing a STEM un-
3 dergraduate degree at the same rate as all other
4 freshmen, only 22.1 percent of Latino students, 18.4
5 percent of African-American students, and 18.8 per-
6 cent of Native American students studying in STEM
7 fields complete their degree within 5 years, com-
8 pared to approximately 33 percent of White students
9 and 42 percent of Asian students who complete their
10 degree within 5 years.

11 (6) In some STEM fields, including the com-
12 puter sciences, women persist at about the same rate
13 through doctorate degrees. In other STEM fields,
14 women persist through doctorate degrees at a lower
15 rate. In mathematics, women earn just 26 percent of
16 doctorate degrees compared with 42 percent of un-
17 dergraduate degrees. Overall, women earned 38 per-
18 cent of STEM doctorate degrees in 2016. The rate
19 of minority students earning STEM doctorate de-
20 grees in physics is 9 percent, compared with 15 per-
21 cent for bachelor's degree. Students from underrep-
22 resented minority groups accounted for only 11.5
23 percent of STEM doctorate degrees awarded in
24 2016.

1 (7) The representation of women in STEM
2 drops significantly from the doctorate degree level to
3 the faculty level. Overall, women hold only 26 per-
4 cent of all tenured and tenure-track positions and 27
5 percent of full professor positions in STEM fields in
6 our Nation's universities and 4-year colleges. Black
7 and Hispanic faculty together hold about 6.8 percent
8 of all tenured and tenure-track positions and 7.5
9 percent of full professor positions. Many of the num-
10 bers in the American Indian or Alaskan Native and
11 Native Hawaiian or Other Pacific Islander cat-
12 egories for different faculty ranks were too small for
13 the National Science Foundation to report publicly
14 without potentially compromising confidential infor-
15 mation about the individuals being surveyed.

16 (8) The representation of women is especially
17 low at our Nation's top research universities. Even
18 in the biological sciences, in which women now earn
19 more than 50 percent of the doctorates and passed
20 the 25 percent level 37 years ago, women make up
21 only 25 percent of the full professors at the approxi-
22 mately 100 most research-intensive universities in
23 the United States. In the physical sciences and
24 mathematics, women make up only 11 percent of full
25 professors, in computer sciences only 10 percent,

1 and across engineering fields only 7 percent. The
2 data suggest that approximately 6 percent of all ten-
3 ure-track STEM faculty members at the most re-
4 search-intensive universities are from underrep-
5 resented minority groups, but in some fields the
6 numbers are too small to report publicly.

7 (9) By 2050, underrepresented minorities will
8 comprise 52 percent of the college-age population of
9 the United States. If the percentage of female stu-
10 dents and students from underrepresented minority
11 groups earning bachelor's degrees in STEM fields
12 does not significantly increase, the United States
13 will face an acute shortfall in the overall number of
14 students who earn degrees in STEM fields just as
15 United States companies are increasingly seeking
16 students with those skills. With this impending
17 shortfall, the United States will almost certainly lose
18 its competitive edge in the 21st century global econ-
19 omy.

20 (10) According to a 2014 Association for
21 Women in Science survey of over 4,000 scientists
22 across the globe, 70 percent of whom were men,
23 STEM researchers face significant challenges in
24 work-life integration. Researchers in the United
25 States were among the most likely to experience a

1 conflict between work and their personal life at least
2 weekly. One-third of researchers surveyed said that
3 ensuring good work-life integration has negatively
4 impacted their careers, and, of researchers intending
5 to leave their current job within the next year, 9
6 percent indicated it was because they were unable to
7 balance work and life demands.

8 (11) Female students and students from under-
9 represented minority groups at institutions of higher
10 education who see few others “like themselves”
11 among faculty and student populations often do not
12 experience the social integration that is necessary for
13 success in all disciplines, including STEM.

14 (12) One in five children in the United States
15 attend school in a rural community. The data shows
16 that rural students are at a disadvantage with re-
17 spect to STEM readiness. Among STEM-interested
18 students, 17 percent of students in rural high
19 schools and 18 percent of students in town-located
20 high schools meet the ACT STEM Benchmark, com-
21 pared with 33 percent of students in suburban high
22 schools and 27 percent of students in urban high
23 schools.

24 (13) A substantial body of evidence establishes
25 that most people hold implicit biases. Decades of

1 cognitive psychology research reveal that most peo-
2 ple carry prejudices of which they are unaware but
3 that nonetheless play a large role in evaluations of
4 people and their work. Unintentional biases and out-
5 moded institutional structures are hindering the ac-
6 cess and advancement of women, minorities, and
7 other groups historically underrepresented in STEM.

8 (14) Workshops held to educate faculty about
9 unintentional biases have demonstrated success in
10 raising awareness of such biases.

11 (15) In 2012, the Office of Diversity and Equal
12 Opportunity of the National Aeronautics and Space
13 Administration (in this Act referred to as “NASA”)
14 completed a report that—

15 (A) is specifically designed to help NASA
16 grant recipients identify why the dearth of
17 women in STEM fields continues and to ensure
18 that it is not due to discrimination; and

19 (B) provides guidance that is usable by all
20 institutions of higher education receiving sig-
21 nificant Federal research funding on how to
22 conduct meaningful self-evaluations of campus
23 culture and policies.

24 (16) The Federal Government provides 55 per-
25 cent of research funding at institutions of higher

1 education and, through its grant-making policies,
2 has had significant influence on institution of higher
3 education policies, including policies related to insti-
4 tutional culture and structure.

5 **SEC. 2. PURPOSES.**

6 The purposes of this Act are as follows:

7 (1) To ensure that Federal science agencies and
8 institutions of higher education receiving Federal re-
9 search and development funding are fully engaging
10 the entire talent pool of the United States.

11 (2) To promote research on, and increase un-
12 derstanding of, the participation and trajectories of
13 women, minorities, and other groups historically
14 underrepresented in STEM studies and careers, in-
15 cluding persons with disabilities, older learners, vet-
16 erans, and rural, poor, and tribal populations, at in-
17 stitutions of higher education and Federal science
18 agencies, including Federal laboratories.

19 (3) To raise awareness within Federal science
20 agencies, including Federal laboratories, and institu-
21 tions of higher education about cultural and institu-
22 tional barriers limiting the recruitment, retention,
23 promotion, and other indicators of participation and
24 achievement of women, minorities, and other groups

1 historically underrepresented in academic and Gov-
2 ernment STEM research careers at all levels.

3 (4) To identify, disseminate, and implement
4 best practices at Federal science agencies, including
5 Federal laboratories, and at institutions of higher
6 education to remove or reduce cultural and institu-
7 tional barriers limiting the recruitment, retention,
8 and success of women, minorities, and other groups
9 historically underrepresented in academic and Gov-
10 ernment STEM research careers.

11 (5) To provide grants to institutions of higher
12 education to recruit, retain, and advance STEM fac-
13 ulty members from underrepresented minority
14 groups and to implement or expand reforms in un-
15 dergraduate STEM education in order to increase
16 the number of students from underrepresented mi-
17 nority groups receiving degrees in these fields.

18 **SEC. 3. FEDERAL SCIENCE AGENCY POLICIES FOR CARE-**
19 **GIVERS.**

20 (a) OSTP GUIDANCE.—Not later than 6 months
21 after the date of enactment of this Act, the Director, in
22 consultation with relevant agencies, shall provide guidance
23 to each Federal science agency to establish policies that—

24 (1) apply to all—

1 (A) research awards granted by such agen-
2 cy; and

3 (B) principal investigators of such research
4 who have caregiving responsibilities, including
5 care for a newborn or newly adopted child and
6 care for an immediate family member who is
7 sick or disabled; and

8 (2) provide—

9 (A) flexibility in timing for the initiation of
10 approved research awards granted by such
11 agency;

12 (B) no-cost extensions of such research
13 awards;

14 (C) grant supplements, as appropriate, to
15 research awards for research technicians or
16 equivalent positions to sustain research activi-
17 ties conducted under such awards; and

18 (D) any other appropriate accommodations
19 at the discretion of the director of each such
20 agency.

21 (b) UNIFORMITY OF GUIDANCE.—In providing guid-
22 ance under subsection (a), the Director shall encourage
23 uniformity and consistency in the policies established pur-
24 suant to such guidance across all Federal science agencies.

1 (c) ESTABLISHMENT OF POLICIES.—Consistent with
2 the guidance under subsection (a), Federal science agen-
3 cies shall—

4 (1) maintain or develop and implement policies
5 for individuals described in paragraph (1)(B) of
6 such subsection; and

7 (2) broadly disseminate such policies to current
8 and potential grantees.

9 (d) DATA ON USAGE.—Federal science agencies
10 shall—

11 (1) collect data on the usage of the policies
12 under subsection (c), by gender, at both institutions
13 of higher education and Federal laboratories; and

14 (2) report such data on an annual basis to the
15 Director in such form as required by the Director.

16 **SEC. 4. COLLECTION AND REPORTING OF DATA ON FED-**
17 **ERAL RESEARCH GRANTS.**

18 (a) COLLECTION OF DATA.—

19 (1) IN GENERAL.—Each Federal science agency
20 shall collect, as practicable, with respect to all appli-
21 cations for merit-reviewed research and development
22 grants to institutions of higher education and Fed-
23 eral laboratories supported by that agency, the
24 standardized record-level annual information on de-
25 mographics, primary field, award type, institution

1 type, review rating, budget request, funding out-
2 come, and awarded budget.

3 (2) UNIFORMITY AND STANDARDIZATION.—The
4 Director, in consultation with the Director of the
5 National Science Foundation, shall establish a policy
6 to ensure uniformity and standardization of the data
7 collection required under paragraph (1).

8 (3) RECORD-LEVEL DATA.—

9 (A) REQUIREMENT.—Beginning not later
10 than 2 years after the date of the enactment of
11 this Act, and on an annual basis thereafter,
12 each Federal science agency shall submit to the
13 Director of the National Science Foundation
14 record-level data collected under paragraph (1)
15 in the form required by such Director.

16 (B) PREVIOUS DATA.—As part of the first
17 submission under subparagraph (A), each Fed-
18 eral science agency, to the extent practicable,
19 shall also submit comparable record-level data
20 for the 5 years preceding the date of such sub-
21 mission.

22 (b) REPORTING OF DATA.—The Director of the Na-
23 tional Science Foundation shall publish statistical sum-
24 mary data, as practicable, collected under this section,
25 disaggregated and cross-tabulated by race, ethnicity, gen-

1 der, and years since completion of doctoral degree, includ-
2 ing in conjunction with the National Science Foundation’s
3 report required by section 37 of the Science and Tech-
4 nology Equal Opportunities Act (42 U.S.C. 1885d; Public
5 Law 96–516).

6 **SEC. 5. POLICIES FOR REVIEW OF FEDERAL RESEARCH**
7 **GRANTS.**

8 (a) IN GENERAL.—Each Federal science agency shall
9 implement the policy recommendations with respect to re-
10 ducing the impact of implicit bias at Federal science agen-
11 cies and grantee institutions as developed by the Office
12 of Science and Technology Policy in the 2016 report enti-
13 tled “Reducing the Impact of Bias in the STEM Work-
14 force” and any subsequent updates.

15 (b) PILOT ACTIVITY.—In consultation with the Na-
16 tional Science Foundation and consistent with policy rec-
17 ommendations referenced in subsection (a), each Federal
18 science agency shall implement a 2-year pilot orientation
19 activity for program officers and members of standing re-
20 view committees to educate reviewers on research related
21 to, and minimize the effects of, implicit bias in the review
22 of extramural and intramural Federal research grants.

23 (c) ESTABLISHMENT OF POLICIES.—Drawing upon
24 lessons learned from the pilot activity under subsection
25 (b), each Federal science agency shall maintain or develop

1 and implement evidence-based policies and practices to
2 minimize the effects of implicit bias in the review of extra-
3 mural and intramural Federal research grants.

4 (d) ASSESSMENT OF POLICIES.—Federal science
5 agencies shall regularly assess, and amend as necessary,
6 the policies and practices implemented pursuant to sub-
7 section (c) to ensure effective measures are in place to
8 minimize the effects of implicit bias in the review of extra-
9 mural and intramural Federal research grants.

10 **SEC. 6. COLLECTION OF DATA ON DEMOGRAPHICS OF FAC-**
11 **ULTY.**

12 (a) COLLECTION OF DATA.—

13 (1) IN GENERAL.—Not later than 3 years after
14 the date of enactment of this Act, and at least every
15 5 years thereafter, the Director of the National
16 Science Foundation shall carry out a survey to col-
17 lect data from grantees on the demographics of
18 STEM faculty, by broad fields of STEM, at dif-
19 ferent types of institutions of higher education.

20 (2) CONSIDERATIONS.—To the extent prac-
21 ticable, the Director of the National Science Foun-
22 dation shall consider, by gender, race, ethnicity, citi-
23 zenship status, and years since completion of doc-
24 toral degree—

25 (A) the number and percentage of faculty;

1 (B) the number and percentage of faculty
2 at each rank;

3 (C) the number and percentage of faculty
4 who are in nontenure-track positions, including
5 teaching and research;

6 (D) the number and percentage of faculty
7 who are reviewed for promotion, including ten-
8 ure, and the percentage of that number who are
9 promoted, including being awarded tenure;

10 (E) faculty years in rank;

11 (F) the number and percentage of faculty
12 to leave tenure-track positions;

13 (G) the number and percentage of faculty
14 hired, by rank; and

15 (H) the number and percentage of faculty
16 in leadership positions.

17 (b) **EXISTING SURVEYS.**—The Director of the Na-
18 tional Science Foundation, may, in modifying or expand-
19 ing existing Federal surveys of higher education (as nec-
20 essary)—

21 (1) take into account the considerations under
22 subsection (a)(2) by collaborating with statistical
23 centers at other Federal agencies; or

1 education and Federal laboratories on the best prac-
2 tices for—

3 (A) conducting periodic climate surveys of
4 STEM departments and divisions, with a par-
5 ticular focus on identifying any cultural or in-
6 stitutional barriers to the recruitment, reten-
7 tion, or advancement of women, racial and eth-
8 nic minorities, and other groups historically
9 underrepresented in STEM studies and careers;
10 and

11 (B) providing educational opportunities, in-
12 cluding workshops as described in subsection
13 (b), for STEM faculty, research personnel, and
14 administrators to learn about current research
15 on implicit bias in recruitment, evaluation, and
16 promotion of undergraduate and graduate stu-
17 dents and research personnel.

18 (2) EXISTING GUIDANCE.—In developing the
19 guidance under paragraph (1), the Director shall
20 utilize guidance already developed by Federal science
21 agencies.

22 (3) DISSEMINATION OF GUIDANCE.—Federal
23 science agencies shall broadly disseminate the guid-
24 ance developed under paragraph (1) to institutions

1 of higher education that receive Federal research
2 funding and Federal laboratories.

3 (4) ESTABLISHMENT OF POLICIES.—Consistent
4 with the guidance developed under paragraph (1)—

5 (A) the Director of the National Science
6 Foundation shall develop a policy that—

7 (i) applies to, at a minimum, doctoral
8 degree granting institutions that receive
9 Federal research funding; and

10 (ii) requires each such institution, not
11 later than 3 years after the date of enact-
12 ment of this Act, to report to the Director
13 of the National Science Foundation on ac-
14 tivities and policies developed and imple-
15 mented based on the guidance developed
16 under paragraph (1); and

17 (B) each Federal science agency with a
18 Federal laboratory shall maintain or develop
19 and implement practices and policies for the
20 purposes described in paragraph (1) for such
21 laboratory.

22 (b) WORKSHOPS TO ADDRESS CULTURAL BARRIERS
23 TO EXPANDING THE ACADEMIC AND FEDERAL STEM
24 WORKFORCE.—

1 (1) IN GENERAL.—Not later than 6 months
2 after the date of enactment of this Act, the Director,
3 in consultation with the interagency working group
4 on inclusion in STEM, shall recommend a uniform
5 policy for Federal science agencies to carry out a
6 program of workshops that educate STEM depart-
7 ment chairs at institutions of higher education, sen-
8 ior managers at Federal laboratories, and other fed-
9 erally funded researchers about methods that mini-
10 mize the effects of implicit bias in the career ad-
11 vancement, including hiring, tenure, promotion, and
12 selection for any honor based in part on the recipi-
13 ent’s research record, of academic and Federal
14 STEM researchers.

15 (2) INTERAGENCY COORDINATION.—The Direc-
16 tor shall, to the extent practicable, ensure that work-
17 shops supported under this subsection are coordi-
18 nated across Federal science agencies and jointly
19 supported as appropriate.

20 (3) MINIMIZING COSTS.—To the extent prac-
21 ticable, workshops shall be held in conjunction with
22 national or regional STEM disciplinary meetings to
23 minimize costs associated with participant travel.

24 (4) PRIORITY FIELDS FOR ACADEMIC PARTICI-
25 PANTS.—In considering the participation of STEM

1 department chairs and other academic researchers,
2 the Director shall prioritize workshops for the broad
3 fields of STEM in which the national rate of rep-
4 resentation of women among tenured or tenure-track
5 faculty or nonfaculty researchers at doctorate-grant-
6 ing institutions of higher education is less than 25
7 percent, according to the most recent data available
8 from the National Center for Science and Engineer-
9 ing Statistics.

10 (5) ORGANIZATIONS ELIGIBLE TO CARRY OUT
11 WORKSHOPS.—A Federal science agency may carry
12 out the program of workshops under this subsection
13 by making grants to organizations made eligible by
14 the Federal science agency and any of the following
15 organizations:

16 (A) Nonprofit scientific and professional
17 societies and organizations that represent one
18 or more STEM disciplines.

19 (B) Nonprofit organizations that have the
20 primary mission of advancing the participation
21 of women, minorities, or other groups histori-
22 cally underrepresented in STEM.

23 (6) CHARACTERISTICS OF WORKSHOPS.—The
24 workshops shall have the following characteristics:

1 (A) Invitees to workshops shall include at
2 least—

3 (i) the chairs of departments in the
4 relevant STEM discipline or disciplines
5 from doctoral degree granting institutions
6 that receive Federal research funding; and
7 (ii) in the case of Federal laboratories,
8 individuals with personnel management re-
9 sponsibilities comparable to those of an in-
10 stitution of higher education department
11 chair.

12 (B) Activities at the workshops shall in-
13 clude research presentations and interactive dis-
14 cussions or other activities that increase the
15 awareness of the existence of implicit bias in re-
16 cruitment, hiring, tenure review, promotion, and
17 other forms of formal recognition of individual
18 achievement for faculty and other federally
19 funded STEM researchers and shall provide
20 strategies to overcome such bias.

21 (C) Research presentations and other
22 workshop programs, as appropriate, shall in-
23 clude a discussion of the unique challenges
24 faced by different underrepresented groups, in-
25 cluding minority women, minority men, persons

1 from rural and underserved areas, persons with
2 disabilities, gender and sexual minority individ-
3 uals, and first generation graduates in research.

4 (D) Workshop programs shall include in-
5 formation on best practices for mentoring un-
6 dergraduate and graduate women, minorities,
7 and other students from groups historically
8 underrepresented in STEM.

9 (7) DATA ON WORKSHOPS.—Any proposal for
10 funding by an organization seeking to carry out a
11 workshop under this subsection shall include a de-
12 scription of how such organization will—

13 (A) collect data on the rates of attendance
14 by invitees in workshops, including information
15 on the home institution and department of
16 attendees, and the rank of faculty attendees;

17 (B) conduct attitudinal surveys on work-
18 shop attendees before and after the workshops;
19 and

20 (C) collect follow-up data on any relevant
21 institutional policy or practice changes reported
22 by attendees not later than one year after at-
23 tendance in such a workshop.

24 (8) REPORT TO NSF.—Organizations receiving
25 funding to carry out workshops under this sub-

1 section shall report the data required in paragraph
2 (7) to the Director of the National Science Founda-
3 tion in such form as required by such Director.

4 (c) REPORT TO CONGRESS.—Not later than 4 years
5 after the date of enactment of this Act, the Director of
6 the National Science Foundation shall submit a report to
7 Congress that includes—

8 (1) a summary and analysis of the types and
9 frequency of activities and policies developed and
10 carried out under subsection (a) based on the re-
11 ports submitted under paragraph (4) of such sub-
12 section; and

13 (2) a description and evaluation of the status
14 and effectiveness of the program of workshops re-
15 quired under subsection (b), including a summary of
16 any data reported under paragraph (8) of such sub-
17 section.

18 (d) AUTHORIZATION OF APPROPRIATIONS.—There
19 are authorized to be appropriated to the Director of the
20 National Science Foundation \$1,000,000 in each of fiscal
21 years 2020 through 2024 to carry out this section.

22 **SEC. 8. RESEARCH AND DISSEMINATION AT THE NATIONAL**
23 **SCIENCE FOUNDATION.**

24 (a) IN GENERAL.—The Director of the National
25 Science Foundation shall award research grants and carry

1 out dissemination activities consistent with the purposes
2 of this Act, including—

3 (1) research grants to analyze the record-level
4 data collected under section 4 and section 6, con-
5 sistent with policies to ensure the privacy of individ-
6 uals identifiable by such data;

7 (2) research grants to study best practices for
8 work-life accommodation;

9 (3) research grants to study the impact of poli-
10 cies and practices that are implemented under this
11 Act or that are otherwise consistent with the pur-
12 poses of this Act;

13 (4) collaboration with other Federal science
14 agencies and professional associations to exchange
15 best practices, harmonize work-life accommodation
16 policies and practices, and overcome common bar-
17 riers to work-life accommodation; and

18 (5) collaboration with institutions of higher
19 education in order to clarify and catalyze the adop-
20 tion of a coherent and consistent set of work-life ac-
21 commodation policies and practices.

22 (b) AUTHORIZATION OF APPROPRIATIONS.—There
23 are authorized to be appropriated to the Director of the
24 National Science Foundation \$5,000,000 in each of fiscal
25 years 2020 through 2024 to carry out this section.

1 **SEC. 9. RESEARCH AND RELATED ACTIVITIES TO EXPAND**
2 **STEM OPPORTUNITIES.**

3 (a) NATIONAL SCIENCE FOUNDATION SUPPORT FOR
4 INCREASING DIVERSITY AMONG STEM FACULTY AT IN-
5 STITUTIONS OF HIGHER EDUCATION.—Section 305 of the
6 American Innovation and Competitiveness Act (42 U.S.C.
7 1862s–5) is amended—

8 (1) by redesignating subsections (e) and (f) as
9 subsections (g) and (h), respectively; and

10 (2) by inserting after subsection (d) the fol-
11 lowing:

12 “(e) SUPPORT FOR INCREASING DIVERSITY AMONG
13 STEM FACULTY AT INSTITUTIONS OF HIGHER EDU-
14 CATION.—

15 “(1) IN GENERAL.—The Director of the Foun-
16 dation shall award grants to institutions of higher
17 education (or consortia thereof) for the development
18 and assessment of innovative reform efforts designed
19 to increase the recruitment, retention, and advance-
20 ment of individuals from underrepresented minority
21 groups in academic STEM careers.

22 “(2) MERIT REVIEW; COMPETITION.—Grants
23 shall be awarded under this subsection on a merit-
24 reviewed, competitive basis.

25 “(3) USE OF FUNDS.—Activities supported by
26 grants under this subsection may include—

1 “(A) institutional assessment activities,
2 such as data analyses and policy review, in
3 order to identify and address specific issues in
4 the recruitment, retention, and advancement of
5 faculty members from underrepresented minor-
6 ity groups;

7 “(B) implementation of institution-wide
8 improvements in workload distribution, such
9 that faculty members from underrepresented
10 minority groups are not disadvantaged in the
11 amount of time available to focus on research,
12 publishing papers, and engaging in other activi-
13 ties required to achieve tenure status and run
14 a productive research program;

15 “(C) development and implementation of
16 training courses for administrators and search
17 committee members to ensure that candidates
18 from underrepresented minority groups are not
19 subject to implicit biases in the search and hir-
20 ing process;

21 “(D) development and hosting of intra- or
22 inter-institutional workshops to propagate best
23 practices in recruiting, retaining, and advancing
24 faculty members from underrepresented minor-
25 ity groups;

1 “(E) professional development opportuni-
2 ties for faculty members from underrepresented
3 minority groups;

4 “(F) activities aimed at making under-
5 graduate STEM students from underrep-
6 resented minority groups aware of opportunities
7 for academic careers in STEM fields;

8 “(G) activities to identify and engage ex-
9 ceptional graduate students from underrep-
10 resented minority groups at various stages of
11 their studies and to encourage them to enter
12 academic careers; and

13 “(H) other activities consistent with para-
14 graph (1), as determined by the Director of the
15 Foundation.

16 “(4) SELECTION PROCESS.—

17 “(A) APPLICATION.—An institution of
18 higher education (or a consortium of such insti-
19 tutions) seeking funding under this subsection
20 shall submit an application to the Director of
21 the Foundation at such time, in such manner,
22 and containing such information and assur-
23 ances as such Director may require. The appli-
24 cation shall include, at a minimum, a descrip-
25 tion of—

1 “(i) the reform effort that is being
2 proposed for implementation by the insti-
3 tution of higher education;

4 “(ii) any available evidence of specific
5 difficulties in the recruitment, retention,
6 and advancement of faculty members from
7 underrepresented minority groups in
8 STEM academic careers within the institu-
9 tion of higher education submitting an ap-
10 plication, and how the proposed reform ef-
11 fort would address such issues;

12 “(iii) how the institution of higher
13 education submitting an application plans
14 to sustain the proposed reform effort be-
15 yond the duration of the grant; and

16 “(iv) how the success and effective-
17 ness of the proposed reform effort will be
18 evaluated and assessed in order to con-
19 tribute to the national knowledge base
20 about models for catalyzing institutional
21 change.

22 “(B) REVIEW OF APPLICATIONS.—In se-
23 lecting grant recipients under this subsection,
24 the Director of the Foundation shall consider,
25 at a minimum—

1 “(i) the likelihood of success in under-
2 taking the proposed reform effort at the
3 institution of higher education submitting
4 the application, including the extent to
5 which the administrators of the institution
6 are committed to making the proposed re-
7 form effort a priority;

8 “(ii) the degree to which the proposed
9 reform effort will contribute to change in
10 institutional culture and policy such that
11 greater value is placed on the recruitment,
12 retention, and advancement of faculty
13 members from underrepresented minority
14 groups;

15 “(iii) the likelihood that the institu-
16 tion of higher education will sustain or ex-
17 pand the proposed reform effort beyond
18 the period of the grant; and

19 “(iv) the degree to which evaluation
20 and assessment plans are included in the
21 design of the proposed reform effort.

22 “(C) GRANT DISTRIBUTION.—The Director
23 of the Foundation shall ensure, to the extent
24 practicable, that grants awarded under this sec-

1 tion are made to a variety of types of institu-
2 tions of higher education.

3 “(5) AUTHORIZATION OF APPROPRIATIONS.—

4 There are authorized to be appropriated to carry out
5 this subsection \$8,000,000 for each of fiscal years
6 2020 through 2024.”.

7 (b) NATIONAL SCIENCE FOUNDATION SUPPORT FOR
8 BROADENING PARTICIPATION IN UNDERGRADUATE
9 STEM EDUCATION.—Section 305 of the American Inno-
10 vation and Competitiveness Act (42 U.S.C. 1862s–5), as
11 amended by subsection (b), is further amended by insert-
12 ing after subsection (e) the following:

13 “(f) SUPPORT FOR BROADENING PARTICIPATION IN
14 UNDERGRADUATE STEM EDUCATION.—

15 “(1) IN GENERAL.—The Director of the Foun-
16 dation shall award grants to institutions of higher
17 education (or a consortium of such institutions) to
18 implement or expand research-based reforms in un-
19 dergraduate STEM education for the purpose of re-
20 cruiting and retaining students from minority
21 groups who are underrepresented in STEM fields.

22 “(2) MERIT REVIEW; COMPETITION.—Grants
23 shall be awarded under this subsection on a merit-
24 reviewed, competitive basis.

1 “(3) USE OF FUNDS.—Activities supported by
2 grants under this subsection may include—

3 “(A) implementation or expansion of inno-
4 vative, research-based approaches to broaden
5 participation of underrepresented minority
6 groups in STEM fields;

7 “(B) implementation or expansion of
8 bridge, cohort, tutoring, or mentoring pro-
9 grams, including those involving community col-
10 leges and technical schools, designed to enhance
11 the recruitment and retention of students from
12 underrepresented minority groups in STEM
13 fields;

14 “(C) implementation or expansion of out-
15 reach programs linking institutions of higher
16 education and K–12 school systems in order to
17 heighten awareness among pre-college students
18 from underrepresented minority groups of op-
19 portunities in college-level STEM fields and
20 STEM careers;

21 “(D) implementation or expansion of fac-
22 ulty development programs focused on improv-
23 ing retention of undergraduate STEM students
24 from underrepresented minority groups;

1 “(E) implementation or expansion of
2 mechanisms designed to recognize and reward
3 faculty members who demonstrate a commit-
4 ment to increasing the participation of students
5 from underrepresented minority groups in
6 STEM fields;

7 “(F) expansion of successful reforms
8 aimed at increasing the number of STEM stu-
9 dents from underrepresented minority groups
10 beyond a single course or group of courses to
11 achieve reform within an entire academic unit,
12 or expansion of successful reform efforts beyond
13 a single academic unit or field to other STEM
14 academic units or fields within an institution of
15 higher education;

16 “(G) expansion of opportunities for stu-
17 dents from underrepresented minority groups to
18 conduct STEM research in industry, at Federal
19 labs, and at international research institutions
20 or research sites;

21 “(H) provision of stipends for students
22 from underrepresented minority groups partici-
23 pating in research;

24 “(I) development of research collaborations
25 between research-intensive universities and pri-

1 marily undergraduate minority-serving institu-
2 tions;

3 “(J) support for graduate students and
4 postdoctoral fellows from underrepresented mi-
5 nority groups to participate in instructional or
6 assessment activities at primarily under-
7 graduate institutions, including primarily un-
8 dergraduate minority-serving institutions and
9 two-year institutions of higher education; and

10 “(K) other activities consistent with para-
11 graph (1), as determined by the Director of the
12 Foundation.

13 “(4) SELECTION PROCESS.—

14 “(A) APPLICATION.—An institution of
15 higher education (or a consortia thereof) seek-
16 ing a grant under this subsection shall submit
17 an application to the Director of the Founda-
18 tion at such time, in such manner, and con-
19 taining such information and assurances as
20 such Director may require. The application
21 shall include, at a minimum—

22 “(i) a description of the proposed re-
23 form effort;

24 “(ii) a description of the research
25 findings that will serve as the basis for the

1 proposed reform effort or, in the case of
2 applications that propose an expansion of a
3 previously implemented reform, a descrip-
4 tion of the previously implemented reform
5 effort, including data about the recruit-
6 ment, retention, and academic achievement
7 of students from underrepresented minor-
8 ity groups;

9 “(iii) evidence of an institutional com-
10 mitment to, and support for, the proposed
11 reform effort, including a long-term com-
12 mitment to implement successful strategies
13 from the current reform beyond the aca-
14 demic unit or units included in the grant
15 proposal;

16 “(iv) a description of existing or
17 planned institutional policies and practices
18 regarding faculty hiring, promotion, ten-
19 ure, and teaching assignment that reward
20 faculty contributions to improving the edu-
21 cation of students from underrepresented
22 minority groups in STEM; and

23 “(v) how the success and effectiveness
24 of the proposed reform effort will be evalu-
25 ated and assessed in order to contribute to

1 the national knowledge base about models
2 for catalyzing institutional change.

3 “(B) REVIEW OF APPLICATIONS.—In se-
4 lecting grant recipients under this subsection,
5 the Director of the Foundation shall consider,
6 at a minimum—

7 “(i) the likelihood of success of the
8 proposed reform effort at the institution
9 submitting the application, including the
10 extent to which the faculty, staff, and ad-
11 ministrators of the institution are com-
12 mitted to making the proposed institu-
13 tional reform a priority of the participating
14 academic unit or units;

15 “(ii) the degree to which the proposed
16 reform effort will contribute to change in
17 institutional culture and policy such that
18 greater value is placed on faculty engage-
19 ment in the retention of students from
20 underrepresented minority groups;

21 “(iii) the likelihood that the institu-
22 tion will sustain or expand the proposed
23 reform effort beyond the period of the
24 grant; and

1 “(iv) the degree to which evaluation
2 and assessment plans are included in the
3 design of the proposed reform effort.

4 “(C) GRANT DISTRIBUTION.—The Director
5 of the Foundation shall ensure, to the extent
6 practicable, that grants awarded under this
7 subsection are made to a variety of types of in-
8 stitutions of higher education, including two-
9 year and minority-serving institutions of higher
10 education.

11 “(5) EDUCATION RESEARCH.—

12 “(A) IN GENERAL.—All grants made under
13 this subsection shall include an education re-
14 search component that will support the design
15 and implementation of a system for data collec-
16 tion and evaluation of proposed reform efforts
17 in order to build the knowledge base on prom-
18 ising models for increasing recruitment and re-
19 tention of students from underrepresented mi-
20 nority groups in STEM education at the under-
21 graduate level across a diverse set of institu-
22 tions.

23 “(B) DISSEMINATION.—The Director of
24 the Foundation shall coordinate with relevant
25 Federal agencies in disseminating the results of

1 the research under this paragraph to ensure
2 that best practices in broadening participation
3 in STEM education at the undergraduate level
4 are made readily available to all institutions of
5 higher education, other Federal agencies that
6 support STEM programs, non-Federal funders
7 of STEM education, and the general public.

8 “(6) AUTHORIZATION OF APPROPRIATIONS.—
9 There are authorized to be appropriated to carry out
10 this subsection \$15,000,000 for each of fiscal years
11 2020 through 2024.”.

12 **SEC. 10. TRIBAL COLLEGES AND UNIVERSITIES PROGRAM.**

13 (a) GRANTS TO BROADEN TRIBAL COLLEGE AND
14 UNIVERSITY STUDENT PARTICIPATION IN COMPUTER
15 SCIENCE.—Section 525 of the America COMPETES Re-
16 authorization Act of 2010 (42 U.S.C. 1862p–13) is
17 amended by inserting after subsection (c) the following:

18 “(d) GRANTS TO BROADEN TRIBAL COLLEGE AND
19 UNIVERSITY STUDENT PARTICIPATION IN COMPUTER
20 SCIENCE.—

21 “(1) IN GENERAL.—The Director, as part of
22 the program authorized under this section, shall
23 award grants on a competitive, merit-reviewed basis
24 to eligible entities to increase the participation of
25 tribal populations in computer science and computa-

1 tional thinking education programs to enable stu-
2 dents to develop skills and competencies in coding,
3 problem-solving, critical thinking, creativity and col-
4 laboration.

5 “(2) PURPOSE.—Grants awarded under this
6 subsection shall support—

7 “(A) research and development needed to
8 bring computer science and computational
9 thinking courses and degrees to tribal colleges
10 and universities;

11 “(B) research and development of instruc-
12 tional materials needed to integrate computer
13 science and computational thinking into pro-
14 grams that are culturally relevant to students
15 attending tribal colleges and universities;

16 “(C) research, development and evaluation
17 of distance education for computer science and
18 computational thinking courses and degree pro-
19 grams for students attending tribal colleges and
20 universities; and

21 “(D) other activities consistent with the
22 activities described in paragraphs (1) through
23 (4) of subsection (b), as determined by the Di-
24 rector.

1 “(3) PARTNERSHIPS.—A tribal college or uni-
2 versity seeking a grant under this subsection, or a
3 consortia thereof, may partner with an institution of
4 higher education or nonprofit organization with dem-
5 onstrated expertise in academic program develop-
6 ment.

7 “(4) COORDINATION.—In carrying out this sub-
8 section, the Director shall consult and cooperate
9 with the programs and policies of other relevant
10 Federal agencies to avoid duplication with and en-
11 hance the effectiveness of the program under this
12 subsection.

13 “(5) AUTHORIZATION OF APPROPRIATIONS.—
14 There are authorized to be appropriated to the Di-
15 rector of the Foundation \$2,000,000 in each of fis-
16 cal years 2020 through 2024 to carry out this sub-
17 section.”.

18 (b) EVALUATION.—

19 (1) IN GENERAL.—Not later than 2 years after
20 the date of enactment of this Act, the Director of
21 the National Science Foundation shall evaluate the
22 grant program authorized under section 525 of the
23 America COMPETES Reauthorization Act of 2010
24 (42 U.S.C. 1862p–13), as amended.

1 (2) REQUIREMENTS.—In conducting the evalua-
2 tion under paragraph (1), the Director of the Na-
3 tional Science Foundation shall, as practicable—

4 (A) use a common set of benchmarks and
5 assessment tools to identify best practices and
6 materials developed or demonstrated by the re-
7 search conducted pursuant to grants programs
8 under section 525 of the America COMPETES
9 Reauthorization Act of 2010 (42 U.S.C.
10 1862p–13);

11 (B) include an assessment of the effective-
12 ness of such grant programs in expanding ac-
13 cess to high quality STEM education, research,
14 and outreach at tribal colleges and universities,
15 as applicable;

16 (C) assess the number of students who
17 participated in such grant programs; and

18 (D) assess the percentage of students par-
19 ticipating in such grant programs who success-
20 fully complete their education programs.

21 (3) REPORT.—Not later than 180 days after
22 the date on which the evaluation under paragraph
23 (1) is completed, the Director of the National
24 Science Foundation shall submit to Congress and
25 make available to the public, a report on the results

1 of the evaluation, including any recommendations for
2 legislative action that could optimize the effective-
3 ness of the grant program authorized under section
4 525 of the America COMPETES Reauthorization
5 Act of 2010, as amended by subsection (a).

6 **SEC. 11. REPORT TO CONGRESS.**

7 Not later than 4 years after the date of enactment
8 of this Act, the Director shall submit a report to Congress
9 that includes—

10 (1) a description and evaluation of the status
11 and usage of policies implemented pursuant to sec-
12 tion 3 at all Federal science agencies, including any
13 recommendations for revising or expanding such
14 policies;

15 (2) with respect to efforts to minimize the ef-
16 fects of implicit bias in the review of extramural and
17 intramural Federal research grants under section
18 5—

19 (A) what steps all Federal science agencies
20 have taken to implement policies and practices
21 to minimize such effects;

22 (B) a description of any significant up-
23 dates to the policies for review of Federal re-
24 search grants required under such section; and

1 (C) any evidence of the impact of such
2 policies on the review or awarding of Federal
3 research grants; and

4 (3) a description and evaluation of the status of
5 institution of higher education and Federal labora-
6 tory policies and practices required under section
7 7(a), including any recommendations for revising or
8 expanding such policies.

9 **SEC. 12. MERIT REVIEW.**

10 Nothing in this Act shall be construed as altering any
11 intellectual or broader impacts criteria at Federal science
12 agencies for evaluating grant applications.

13 **SEC. 13. DEFINITIONS.**

14 In this Act:

15 (1) **DIRECTOR.**—The term “Director” means
16 the Director of the Office of Science and Technology
17 Policy.

18 (2) **FEDERAL LABORATORY.**—The term “Fed-
19 eral laboratory” has the meaning given such term in
20 section 4 of the Stevenson-Wydler Technology Inno-
21 vation Act of 1980 (15 U.S.C. 3703).

22 (3) **FEDERAL SCIENCE AGENCY.**—The term
23 “Federal science agency” means any Federal agency
24 with at least \$100,000,000 in research and develop-
25 ment expenditures in fiscal year 2018.

1 (4) INSTITUTION OF HIGHER EDUCATION.—The
2 term “institution of higher education” has the
3 meaning given such term in section 101(a) of the
4 Higher Education Act of 1965 (20 U.S.C. 1001(a)).

5 (5) INTERAGENCY WORKING GROUP ON INCLU-
6 SION IN STEM.—The term “interagency working
7 group on inclusion in STEM” means the interagency
8 working group established by section 308 of the
9 American Innovation and Competitiveness Act (42
10 U.S.C. 6626).

11 (6) STEM.—The term “STEM” means science,
12 technology, engineering, and mathematics, including
13 computer science.

Amend the title so as to read: “A bill to direct the Director of the Office of Science and Technology Policy and Federal science agencies to carry out programs and activities to ensure that Federal science agencies and institutions of higher education receiving Federal research and development funding are fully engaging the entire talent pool of the United States, and for other purposes.”.

